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EDUCATION:

University of Virginia School of Law, Master of Laws, first in class.

Northwestern University School of Law, Juris Doctor cum laude, Chicago Title & Trust Foundation Scholar, Dean's List all semesters.

University of Notre Dame, Bachelor of Arts cum laude in Economics, Dean's List all semesters.

Chaminade High School, Dayton, Ohio, Diploma (with honors), National Merit Finalist.

EMPLOYMENT:

W. Edward Sell Chair in Business Law, University of Pittsburgh, 1996- (Business Enterprises, Corporate Governance, Comparative Corporate Governance, Progressive Corporate Law, Law and Accounting, Corporate Finance, Securities Regulation, Civil Procedure, Complex Litigation).

Ernst & Young Distinguished Visiting Scholar, Chinese University of Hong Kong, Spring, 2013 (Corporate Governance; Securities Regulation).

Condon-Falknor Distinguished Visiting Professor, School of Law, University of Washington, Seattle, Washington, 2010 (Public Corporations; Mergers & Acquisitions).

DAJV Lecturer on Corporate Governance, Germany, 2007 (Universities of Augsburg, Bochum, Cologne, Dusseldorf (Industry Club - co-sponsored by US Consulate and Heinrich Heine University), Frankfurt (co-sponsored by Hengler

Mueller), Hannover (co-sponsored by Hannover Re), Heidelberg, Leipzig, Stuttgart (James Bryne Cultural Institute).

Permanent Fellow, School of Law, University of Melbourne, Victoria, Australia, 1994-2009 (Master of Laws course in Comparative Corporate Governance) (with J. Farrar).

Paul Hastings Distinguished Visiting Professor, Faculty of Laws, University of Hong Kong, Spring, 2006 (Corporate Governance).

Consultant and State Department Lecturer, USAID, the Ukraine (Institute for International Relations, Taras Shevchenko National University of the Ukraine, Kiev, and Faculty of Law and Economics, Donetsk National University, Donetsk), 2003 (Business Organizations Law and Corporate Governance).

Fulbright Exchange, Visiting Professor of Law, University of Gent, Belgium, 2000 (Comparative Corporate Governance, Class Action Litigation).

Consultant, USAID, Corporate Law, Corporate Governance, Capital Markets Law, and Asset Securitization Reform, Republic of Indonesia, 1999-2002.

Visiting Professor, University of Cape Town, Cape Town, South Africa, Summer, 1998 (Company law and Corporate governance).

Professor of Law, Seattle University, 1974-1996 (Corporations, Corporate Finance, Comparative Company Law, Securities Regulation, Business Planning, Bankruptcy, Agency & Partnership, Mergers and Acquisitions, Torts, Civil Procedure).

Charles Tweedy Distinguished Visiting Professor, University of Alabama, Spring, 1993, and Spring, 2003 (Corporations, Corporate Finance and Securities Regulation).

Visiting Senior Lecturer, Victoria University, Wellington, and University of Canterbury, Christchurch, New Zealand, 1991 (Company Law).

Visiting Professor of Law, University of Oregon, 1987-1988 (Corporate Finance, Securities Regulations, and Torts).

Visiting Professor of Law, Cornell University, 1985 (Corporations and Securities Regulation).

Visiting Senior Lecturer, University of East Anglia, Norwich, England, 1981 (Company Law and Comparative Law).

Visiting Associate Professor of Law, Arizona State University, 1977 (Corporations and Securities Regulation).

Associate, Hubachek, Kelly, Rauch & Kirby, Chicago (now Burke, Wilson & McIlvaine, 135 South LaSalle Street) 1970-73, general corporate, securities, and litigation practice.

SUMMERS:

Clerk, Arter & Hadden, Union Commerce Building, Cleveland, Ohio, 1969; Loyola University (Los Angeles) 1986 (Corporations); King's College (London) 1988 (Comparative Company Law); Washington University (St. Louis) 1994 (Corporate Governance); University of Barcelona, 1997 (International Corporations); University of Paris, 1999 (Comparative Company Law); University of Sydney (Australia), 2000, (Parsons Fellow); Semester at Sea, 2001 (International Business and Society and Comparative Corporate Law – chosen as convocation speaker); Trinity College, Dublin, Ireland, 2006 (International Human Rights); Willamette University (Spring, 2002); University of Augsburg (Germany), 2002 (Introduction to Anglo/American Law).

OF COUNSEL:

Smith Alling Lane, Tacoma, Washington, 1979-1995.

Esler, Stephens & Buckley, Portland, Oregon, 1990-present.

BOOKS:

CORPORATE GOVERNANCE (Lexis Law Pub.,1993) (with annual supplements).

CORPORATE GOVERNANCE PROBLEMS (Cathedral Press, Pittsburgh, Pa. 1997).

FORENSIC SOCIAL WORK (Haworth Press, Binghamton, N.Y. 1992) (with Robert Barker).

FORENSIC SOCIAL WORK (2d ed. 1999) (with Robert Barker).

UNDERSTANDING CORPORATE LAW (Matthew Bender & Co., Inc., New York, N.Y. 1999) (with A. Pinto)(2d Edition, 2004)(available in Japanese and Chinese language editions)(3rd ed. 2009)(4th ed. forthcoming 2012).

QUESTIONS AND ANSWERS ON BUSINESS ORGANIZATIONS LAW (Lexis Law Publishing, Charlottesville, 2004)(2d ed 2012).

BOARDROOM CHRONICLES, Modern Relationships Between Chief Executive Officers and Boards of Directors (2006).

NO SEAT AT THE TABLE, How Governance and Law Keep Women Out of the Boardroom (New York University Press 2007).

THE LAST MALE BASTION - Gender and the CEO Suite at America's Public Companies (Routledge Press, 2010).

CASES AND MATERIALS ON BUSINESS ENTERPRISES; LEGAL STRUCTURE. GOVERNANCE AND POLICY (with Joan Heminway, Marc Steinberg, et al.)(LexisNexis Pub.)(2009)(2ded. 2012).

DOUGLAS M. BRANSON & THOMAS CLARKE, Eds., SAGE HANDBOOK OF CORPORATE GOVERNANCE (Russell Sage Foundation and Sage Press, 2012).

TASTES OF NUOC MOM – THE BROWN WATER NAVY AND VISITS TO VIETNAM (Hellgate Press 2012).

GREATNESS IN THE SHADOWS: LARRY DOBY AND INTEGRATION OF THE AMERICAN LEAGUE (University of Nebraska Press, 2016).

THE FUTURE OF TECH IS FEMALE; ADVANCING GENDER DIVERSITY IN IT MANAGEMENT (NYU Press 2017)(forthcoming)

SELECTED ARTICLES:

“An Essay for Professor Alan Bromberg: Removing the Taint from Past Illegal Offers and Sales,” 68 SMU L. Rev. 657 (2015).

“A Pathway to Sustainability - Corporate Social Accounting,” in CORAL INGLEY, CORPORATE GOVERNANCE AND SUSTAINABILITY (Gower Law Publishing, 2016)(forthcoming).

“From West to East: Corporate Governance Round the Pacific Rim in the Twenty-First Century,” University of Auckland (NZ) L. Rev. (forthcoming 2016).

“A Return to Old time Religion? The Glass Steagall Act, the Volcker Rule, Limits on Proprietary Trading and Sustainability,” 11 University of St. Thomas L. Rev. 359 (2015).

“Alternative Entities: Re-introduction of Fiduciary Duties by the Back Door?” in MARK LOEWENSTEIN & ROBERT HILLMAN, ALTERNATIVE ENTITIES (Edward Elgar Press, forthcoming 2014)

“A Changing Mosaic in Securities Regulation and Enforcement: Broker-Dealers and Investment Advisers,” 80 University of Cincinnati L. Rev. (2013).

“Proposals for Corporate Governance Reform: Six Decades of Ineptitude,” 47 Wake Forest L. Rev. 673 (2013).

“Pathways for Women to Senior Management Positions and Board Seats: An A to Z List,” 2012 Michigan St. L. Rev. 1555 (2013).

“An Australian Perspective on a Global Phenomenon: Initiatives to Place Women on Corporate Boards of Directors,” Aus. J. Corp. & Sec. L (2012).

“Initiatives to Place Women on Corporate Boards of Directors – A Global Snapshot,” 37 Journal of Corporation Law 793 (2012).

“A Business Judgment Rule for Incorporating Jurisdictions in Asia?,” 23 Singapore Academy of Law Journal 687 (Special Issue 2011).

“Holding Multinational Corporations Accountable? Achilles Heels in Alien Tort Claims Act Litigation,” 9 Santa Clara International Law Journal 227 (2011).

“Nibbling at the Edges - Regulation of Short Selling: Policing Failures to Deliver and Restoration of an Uptick Rule,” 65 Bus. Lawyer 67 (2010).

“More Muscle Behind Regulation SHO? Short Selling and the Regulation of Stock Borrowing Programs,” 5 Virginia Law & Bus. Rev. 1 (2010).

“Trekking Toward *Uber* Regulation: Prospects for Meaningful Change at SEC Enforcement?,” 71 University of Pittsburgh L. Rev. 545 (2010).

“Some Suggestions From a Comparison of British and American Tender Offer Regulation,” 56 Cornell Law Review 685-750 (1971).

“Progress in the Art of Social Accounting and Other Arguments for Disclosure on Corporate Social Responsibility,” 29 Vanderbilt Law Review 539-683 (1976).

“Statutory Securities Fraud in the Post Hochfelder Era: The Continued Viability of Modes of Flexible Analysis,” 52 Tulane Law Review 50-114, reprinted in Securities Law Review 1978 at 319.

“Square Pegs in Round Holes: Alaska Native Claims Settlement Corporations Under Corporate Law,” 8 UCLA – Alaska Law Review No. 2 103-138 (1979).

“Securities Regulation After Entering the Competitive Era: The Securities Industry, SEC Policy, and the Individual Investor,” 75 Northwestern University Law Review 857-913 (1980).

“Countertrends in Corporation Law: Model Business Corporation Act Revision, British Company Law Reform and Principles of Corporate Governance and Structure, 68 Minnesota Law Review 53-115 (1983), partially reprinted in R. HAMILTON, CASES AND MATERIALS ON CORPORATIONS (3rd ed. 1986).

“Collateral Participant Liability Under the Securities Laws – Charting the Proper Course,” 65 Oregon Law Review 327-61 (1986), reprinted in Securities Law Review 1987.

“Assault on Another Citadel: Elimination of Fiduciary Standards Applicable to Corporate Officers and Directors,” 57 Fordham Law Review 401 (1989), reprinted in 30 Corporate Practice Commentator (1990).

“Indeterminacy – The Final Ingredient in an Interest Group Analysis of Corporate Law,” 43 Vanderbilt Law Review 85-122 (1990).

“Recent Changes to the Model Business Corporation Act: Death Knells for Main Street Corporation Law,” 72 Nebraska L. Rev. 258 (1993).

“Pragmatist or Prairie Populist? The Corporate and Securities Law Opinions of Mr. Justice John Paul Stevens,” 27 Rutgers Law Journal 605-26 (1996).

“Running the Gauntlet: A Description of the Arduous (And Now Often Fatal) Journey for Plaintiffs in Federal Securities Law Actions,” 65 U. Cinn. L. Rev. 3-41 (1996).

“Chasing the Rogue Professional After the Private Securities Litigation Reform Act of 1995,” 50 SMU L. Rev. 91-125 (1996).

“Securities Litigation in State Courts – Something Old, Something New, Something Borrowed . . . ,” 76 Wash. U. L.Q. 509-36 (1998).

“Teaching Comparative Corporate Governance: The Significance of ‘Soft Law’ and International Institutions,” 34 Georgia Law Rev. 669 (2000).

“The Uncertain Prospect of ‘Global’ Convergence in Corporate Governance,” 34 Cornell Journal of International Law 321 (2001)

“Corporate Governance Reform and the “New” Corporate Social Responsibility,” 61 U. Pitt. L. Rev. 605 (2001).

“Indiana Supreme Court Lecture: The Rule That Isn’t a Rule – The Business Judgment Rule,” 35 Valparaiso L. Rev. 631 (2003).

“Corporate Social Responsibility Redux,” 76 Tulane L. Rev. 1207 (2002).

“The Social Responsibility of Large Multinational Corporations,” 33 Transnational Lawyer 121(2002).

“Enron - When All Systems Fail: Creative Destruction or Roadmap to Corporate Governance Reform?,” 48 Villanova L. Rev. 989 (2003).

“A Statutory Business Judgment Rule for Hong Kong?,” Univ. of Hong Kong Law Review (with C. K. Low) (2004).

“Too Many Bells? Too Many Whistles? Corporate Governance in the Post Enron Post WorldCom Era,” S.C. L. Rev. (2006).

“Still Square Pegs in Round Holes? A Look at ANCSA Corporations, Corporate Governance, and Indeterminate Form or Operation of Legal Entities,” 24 Duke Alaska L. Rev. 203 (2007).

VOLUNTEER LEGAL SERVICES:

Washington State Bar Assoc. Corporate Law Revision Committee, 1976-96; Reporter, Washington Not-For-Profit Corporate Law Revision Committee, 1979-81, Member 1983- ; Washington State Bar Assoc. Limited Partnership Act Revision Committee, 1984-85; Washington State Bar Assoc. Securities Law Advisory Committee, 1990-95.

Member, Law Professors’ Committee to Advise Congress on the American Law Institute Securities Code, 1981-82.

Member, Professional Advisory Board, Puyallup Tribe of Indians and the Medicine Creek Treaty Nation, Tacoma, Washington, 1976-78.

Founder and Participating Attorney, Jane Addams Legal Aid Center, 3213 North Broadway, Chicago, Illinois, 1972-73: criminal defense and poverty law work in a Puerto Rican community.

Participating Attorney, Neighborhood Legal Assistance Center, 1266 North Sedgwick, Chicago, 1970-71; criminal defense and poverty work in Cabrini Greens African American community.

Appointed Appellate Counsel, Supreme Court of Illinois, 1972-73: appeals for inmates at Illinois State Penitentiary, Joliet, Illinois.

Clerk, West Side OEO Legal Aid office, Dayton, Ohio.

Testimony before various Washington State Legislative Committees on tender offer, banking and corporate law legislation.

Member, Advisory Committee to Joint Select Committee on Telecommunications, Washington State Legislature, 1983-84.

Participant, Institute for Law Professors, Law and Economics Center of Emory University, Dartmouth College, Hanover, New Hampshire, Summer, 1983.

Invited Participant, Liberty Fund Conference on the SEC and the First Amendment, Washington D.C., October, 1986, published in 1987 University of Connecticut Law Review.

Law School Graduation Speaker, Seattle University, December, 1997.

Arbitrator, National Association of Securities Dealers (NASD), 1999 to present.

17th Register Lecturer, University of Puget Sound, 1985.

Indiana Supreme Court Lecturer, Valparaiso University 2001.

Honorary Professorship, awarded by Faculty of Law and Business, Deakin University, Geelong, Victoria, Australia, 2002.

LAW SCHOOL ADMINISTRATIVE EXPERIENCE:

Associate Dean for Admissions, University of Puget Sound, 1975-83 (devised admissions and recruiting program for startup law school and supervised a staff of 5); Chair and Chief Fund Raiser, Law School Segment, Centennial Campaign, University of Puget Sound 1987-88; Chair, Faculty Appointments Committee, University of Puget Sound, 1979-80 and 1986-87; Member, Law School Dean Search Committees, University of Puget Sound 1977-78 and 1986-87 and University of Pittsburgh, 1998-99; Faculty Senator for two 2 year terms, University of Puget Sound; Fund Raiser and Steering Committee Member, Heritage Campaign, Bellarmine Preparatory High School, Tacoma, Washington, 1989-96 (active participant in successful effort to raise \$20 million).

REPRESENTATIVE CONSULTANTSHIPS:

Alaska Northern Development, Inc., Anchorage, Alaska; Aleut Corporation, Anchorage, Alaska (Alaska Native Claims Settlement Act Regional Corporation); Bank of California, Tacoma, Washington Branch; Breakwater Resources, Ltd., Toronto, Canada; Bruscoe Tug & Barge Co., Longview, Washington; Continental Airlines, Inc., Houston, Texas; C. P. Hall Corp., Chicago; Feature Farms, Inc., Tukwila, Washington; FNB Corp., Hermitage, Pa; Herron Island Maintenance Co., Herron Island, Washington; Hull Development Co., Yakima, Washington; Medvad, Inc., Indianola, Pa; Mission Ridge Ski Resort/Clifftop Resorts, Wenatchee, Washington; Pacific Ocean Industries, Inc., Tacoma, Washington; Shurgard Companies, Olympia, Washington; Syringa Bank, Boise, Idaho; Transportation Solutions, Inc., Red Bank, NJ; United States Attorney's Office, Seattle, Washington; Weyerhaeuser Corp., Tacoma, Washington; Wien Air Alaska, Inc., Independent Shareholders Group, Anchorage, Alaska.

LECTURESHIPS:

Alaska Bar Assoc.; BAR/BRI Bar Review, Inc.; Puget Sound Bar Review Associates; Washington Bar Assoc; University of Washington School of Law, Continuing Legal Education; University of Pittsburgh; Inter Mountain Business Forum; Malaysian Corporate Governance Institute; Hong Kong Society of Corporate Secretaries; Chinese University of Hong Kong; City University of Hong Kong.

CORPORATE GOVERNANCE WORKSHOPS

American Society of Corporate Secretaries (Western Pennsylvania Chapter); Collin Instruments, Inc., San Antonio, Texas; First National Bancorp, Hermitage, Pennsylvania; High Tech Consortium, San Antonio, Texas; Intermountain Public Companies, Boise, Idaho (Micron Technology, Inc., & Washington Corp.); Medvad, Inc., Indianola, Pennsylvania; Syringa Bank, Boise, Idaho; United States Steel Corp., Kosice, Republic of Slovakia.

ACADEMIC PAPERS AND PRESENTATIONS (Titles listed *infra*):

University of Alabama, Adelaide University (Australia), University of Augsburg (Germany), Bond University (Australia), Canterbury University (New Zealand), Case Western Reserve University, Chinese University of Hong Kong, University of Cologne (Germany), University of Colorado, Cornell University, Deakin University (Australia), University of Dayton, University of Denver, University of Cincinnati, Drexel University, University of East Anglia (UK), Florida State University, University of Frankfurt (Germany), University of Georgia, George Washington University, University of Ghent (Belgium), University of Heidelberg (Germany), University of Kansas, University of Louisville, University of Maryland, McGeorge Law School, University of the Pacific, Melbourne University (Australia), University of Oregon, Pepperdine University, University of Pittsburgh, Otago University (New Zealand), University of San Diego, Seton Hall University, Southampton University (UK), University of Stuttgart (Germany), University of Texas, University of Toledo, Udayana University (Indonesia), Valparaiso University, Villanova University, Wake Forest University, Washington University-St. Louis, Willamette University.

SCHOLARSHIP REFEREE FOR TENURE AND PROMOTION CANDIDATES:

University of Cincinnati, Drexel University, University of Georgia (2), University of Houston (2), University of Illinois, University of Minnesota, National University

of Singapore, Rutgers University (Camden), Seattle University, Southern Methodist University, University of Tulsa.

BAR AND ORGANIZATIONAL MEMBERSHIPS:

Supreme Court of Washington; Supreme Court of Ohio; Supreme Court of Illinois; Supreme Court of Pennsylvania; Northern District, Illinois; Western District of Washington, at Seattle; U.S. Courts of Appeal for the Seventh and Ninth Circuits; United States Supreme Court; American Bar Assoc., ABA Sections on Corporation, Banking and Business Law and Antitrust Law.

Elected Member, AMERICAN LAW INSTITUTE, 1982- .

Associate Member, Society of Public Teachers of Law (United Kingdom), 1984- ; United States Treasurer, 1986-96 .

Outside Reviewer, Little Brown & Co., Corporate and Securities Law Materials, 1989-96.

Outside Reviewer, Oxford University Press, Corporate governance subjects, 2002-
Outside Reviewer, Routledge Ltd, corporate governance subjects, 2004- .

Member, European Corporate Governance Institute.

Member, Asian Corporate Governance Association.

APPEALS:

Commonwealth v. Beneficial Finance, 275 N.E.2d 33 (Mass. 1971), cert. denied, 407 U.S. 910, 914 (1972).

People v. Jenkins, 11 Ill. App. 3d 690, 297 N.E. 2d 279 (Ill. App. 1973).

Anderson v. Aurotek, 774 F.2d 927 (9th Cir. 1985).

Broad v. Sealaska Corporation, 85 F.3d 422 (9th Cir. 1996), cert. denied, 117 S. Ct. 768 (1997).

Hanson v. Kake Tribal Corporation, 939 P.2d 1320 (Alaska 1997).

Demmert v. Kootznoowoo, Inc., 960 P.2d 606 (Alaska 1998).

MILITARY:

Lieutenant Commander, United States Naval Reserves; active service as Operations Officer and Navigator, USS Pluck (minesweeper); coastal and river patrol duty, Viet Nam, 1966-67; trial and defense counsel, special courts martial; active reserves 1967-73.

REPRESENTATIVE ARBITRATIONS AND MEDIATIONS

In re Shurgard Partnerships, Ltd., Arbitration, American Arbitration Association (AAA), Seattle, Washington, 1987.

Tegan v. Gallagan, Mediation, Judicial Arbitration and Mediation Services (JAMS), Seattle, Washington, 1991.

Tolas v. Vermont National Life Insurance Co., Mediation, JAMS, Seattle, Washington, 1994.

Anne Butko v. G. Patrick Healy, Arbitration, JAMS, Tacoma, Washington, 1995.

McKenna v. Sasso, Arbitration, AAA, Pittsburgh, Pennsylvania, 1998.

Schwartz v. Firstworld Communication, Ltd., Arbitration, Judicial Arbiter Group, Denver, Colorado, 2001.

Laura Cabon v. Advest, Inc., and Shirley Adams, NASD Arbitration, Pittsburgh, Pennsylvania, 2001 (panel chair).

Jeffrey Sasso v. Edward D. Jones & Co. and Anthony Kelslak, NASD Arbitration, Pittsburgh, Pennsylvania, 2002 (panel chair).

Carl J. Sauer v. UBS Paine Webber, Inc., and Ryan R. Riley, NASD Arbitration, Pittsburgh, Pennsylvania, 2003.

Marc Alia and Marla Alia v. Merrill Lynch Pierce Fenner & Smith and Timothy P. Miller, NASD Arbitration, Pittsburgh, Pennsylvania, 2003 (panel chair).

Nancy R. Messham v. Morgan Stanley Dean Witter, Inc., NASD Arbitration, Pittsburgh, Pennsylvania, 2003 (panel chair).

Mark Rogers and Mary Jo Rogers v. Financial Investment Analysts, Inc., and Theodore Staats, NASD Arbitration, Pittsburgh, Pennsylvania, 2004 (panel chair).

Beverly Diriaba & Richard Epstein v. American Express Financial Services, Inc., and Michael K. Nelson, NASD Arbitration, Pittsburgh, Pennsylvania, 2004.

Foster v. UBS, Inc., NASD Arbitration, Pittsburgh PA, 2006.

Canavesi v. Merrill Lynch, Pierce, Fenner & Smith, Inc., FINRA Arbitration, Pittsburgh PA, 2011

Edwards v. Bank of America Securities, FINRA, Pittsburgh PA, 2013.

Kink v. Transamerica Investors, Inc., Pittsburgh, PA, 2015

Trippler v. Next Financial, E*Trade Securities et al, FINRA, Pittsburgh PA, 2017.

COMMUNITY SERVICE:

Art Docent, 7th Grade, and Girls' Basketball Coach 5th, 6th, 7th, 8th & 9th Grades, St. Patrick's School, Tacoma, Washington and Western Washington Girls' Developmental League; Member, Research Committee, City Club, Tacoma, Washington; Board of Directors, Bellarmine Preparatory School, Tacoma, Washington, 1990-96.

PERSONAL:

Married; daughters, Clare Livingstone, born September 26, 1975; Anne Harper, born September 7, 1978.

OTHER ARTICLES:

Book Review, "Challenging Boardroom Homogeneity," 58 Canadian Business Law Journal 355 (2016).

"Federal Courts, SEC Rule 10b-5, and the Vindication of David S. Ruder," 85 Northwestern University Law Review 613 (1991).

"Tenth Anniversary Essay: Toward a Philosophy of Corporate Law," 1979 Arizona State Law Journal 217-244.

“Discourse on the Supreme Court Approach to SEC Rule 10b-5 and to Insider Trading,” 30 Emory L.J. 263-303 (1981).

“Insider Trading: British Regulation in the Light of the American Experience,” Part I, [1982] J. of Business Law 342 (Stevens & Co., Ltd., New Fetter Lane, London).

Ibid, Part II, [1982] J. of Business Law 413.

Ibid, Part III, [1982] J. of Business Law 536.

“The American Law Institute Principles of Corporate Governance and the Derivative Action: A View From the Other Side,” 43 Washington & Lee Law Review 399-428 (1986), reprinted in 28 Corporate Practice Commentator 475-501 (1987).

“SEC Non-Acquiescence in Judicial Decisions: Target Company Disclosure of Acquisition Discussions,” 46 Maryland Law Review 1001 (1987).

“The Chancellor’s Foot in Delaware: The Schnell Decision and Its Progeny,” 14 Journal of Corporation Law 515-25 (1989).

“Intracorporate Process and Avoidance of Director Liability,” 24 Wake Forest Law Review 97-115 (1989), reprinted in 30 Corporate Practice Commentator (1990).

“Collateral Participant Liability Under State Securities Laws,” 19 Pepperdine Law Review 1027 (1992), reprinted in 35 Corporate Practice Commentator (1993).

“Choosing the Appropriate Default Rule: Regulation of Insider Trading Under State Law,” 45 Alabama Law Review 753 (1994).

“The Supreme Court’s Literalism and the Definition of ‘Security’ in the State Courts,” 50 Wash. & Lee L. Rev. 1043 (1993) (with K. Okamoto).

“Interstate Compacts in Commerce and Industry: A Proposal For Common Markets Among States,” 23 Vermont L. Rev. 133-55 (1998) (with C. Davis).

“Officers’ and Directors’ Responsibilities,” Chapter 7, in Alaska Bar Assoc., Advising Small Business in Alaska (1978).

“Preemptive Rights and Fiduciary Duties,” Chapter 10, in Wash. Bar Assoc. Corporate Practice Problems: An Agenda of Proposed Reforms (1977).

“Common Incorporation Problems,” Chapter 1, in University of Puget Sound CLE, Planning for the Small Business (1979).

“Criminal Liability of Corporate Officers and Directors,” Chapter 15, in Wash. Bar Assoc., Business Financing (1981).

“Developments in Corporation Law,” Chap. 2, in Wash. Bar Assoc., Financing Business Transactions (1984).

“New Financial Provisions of the Model Business Corporation Act,” Chap. 1 in Wash. Bar Assoc., Stay Tuned (1984).

“Protecting and Fostering the Growth of a Successful Business Entity,” Chap. 4 in Wash. Bar. Assoc., Representing New Or Expanding Businesses (1986).

“Counseling the Board of Directors,” Chapter 10 in Wash. Bar Assoc., Attorney-Client Relationships (1988).

“Officers and Directors Under the Revised Model Business Corporation Act,” Chapter 8 in Wash. Bar Assoc., A Step Ahead (1989).

“Shares and Shareholders and Officers and Directors,” chapters 7 & 8 in Wash. Bar Assoc., A Centennial Celebration (1989).

“Interstate Compacts in Commerce and Industry: A Proposal for ‘Common Markets Among States,’” 23 Vermont Law Review (1998) (with C. Davis).

“Collateral Participant Liability in Washington,” Chapter 25, Proceedings of the Tenth Annual Northwest Securities Law Institute (1990).

“Shareholder Litigation,” Chapter 10 in Wash. Bar Assoc., The New Business Corporation Act (1990).

“Derivative Litigation,” Chapter 4 in University of Washington School of Law, Basic Corporate Practice (1990).

“Practical Ways for Attorneys to Avoid Seller-Participant Liability,” Chapter 12, in Wash. Bar Assoc., Liquidity Issues for Closely Held Corporations (1992).

“Derivative Action and Other Developments Including Pitfalls in Delaware,” Chapter 12, in Insight Information Co., Securities Litigation Into the New Millenium (1999).

“Advising the Board of Directors in Mergers and Acquisitions: Corporate Governance in Action,” Chapter 5 in Insight Information Co., *Mergers and Acquisitions* (2000).

“Models for Regulating the Modern Corporation,” SEVENTEENTH JOHN P. REGESTER LECTURE, University of Puget Sound, October, 1983.

“The Business Judgment Rule and Intracorporate Process,” THIRD ANNUAL LAW REVIEW BUSINESS LAW SYMPOSIUM, Wake Forest University, March, 1989.

“Investigation and Vindication of Corporate Wrongdoing – Primary Reliance Upon a Private Litigation Model,” ADDRESS TO THE ANNUAL MEETING OF THE SOCIETY OF PUBLIC TEACHERS OF LAW (United Kingdom), University of Southampton, England, September, 1990.

BOOK REVIEWS and SHORTER WORKS:

“The Alaska Native Claims Settlement Act and 1991: A Framework for Analysis,” 3 Duke Alaska Law Review 401-412 (1987).

“The Life of the Mind: A Schematic for a Successful Faculty Seminar Program,” 39 Journal of Legal Education 253 (1988).

“A Corporate Paleontologist’s Look at Law and Economics in the Seventh Circuit,” 65 Chicago Kent Law Review 745 (1992).

Book Review, Richard Booth, “Financing the Corporation,” 49 Business Lawyer (1994).

Book Review, William K. S. Wang and Marc I. Steinberg, “Insider Trading,” Securities Regulation Journal (1997).

Book Review, Ralph Nader & Wesley Smith, “Corporate Lawyers and the Perversion of Justice in America,” 48 Case W. Res. L. Rev. 459 (1998).

“Global Convergence in Corporate Governance,” 54 *Keeping Good Companies – the Journal of Chartered Secretaries Australia*, 402 (2002).

“Lessons in Diversity,” 12 *Company Secretary* (Hong Kong Institute of Company Secretaries 6 (2002), *reprinted in* *The Chartered Secretary – Malaysia*, June 2002, at 27.

“Oh Martha! Don’t Ask, Don’t Tell,” Jurist, October 14, 2002.

“Martha Goes to Washington,” Jurist, Oct. 22, 2002.

“Pitt and the Pendulum: The Hard Life and Times of Harvey Pitt at the Securities and Exchange Commission,” Jurist, November 8, 2002.

Book Review, Robert A.G. Monks & Nell Minow, “Corporate Governance (2d ed. 2001), Corporate Governance International (Sweet & Maxwell Asia 2003).

“*Schadenfreude* and Martha Stewart,” Jurist, June 6, 2003.

“White Collars and Black Hearts. Who is the More Blameworthy? Quattrone or Kozlowski?,” Jurist, November 3, 2003.

Book Review, “Lawrence Mitchell, Corporate Irresponsibility - America’s Newest Export,” 15 Bond University Law Review 368 (Australia).

“Criminalization of Corporate Behavior - The Impact on Director and Officer Behavior,” 2 Journal of Business & Technology Law (Univ. of Maryland) 85 (2007).

The Credit Crisis: Taking the Long View, *Jurist*, October 21, 2008.

FORMAL SPEECHES AND PAPERS

“Preemptive Rights and Fiduciary Duties,” Midyear Meeting, Business Law Section, Washington State Bar Association, Richland, Washington, May, 1977

“Officers and Directors’ Responsibilities in Alaska Native Corporations,” Annual Meeting, Alaska State Bar Association, Lihue, Kauai, Hawaii, February, 1978.

“Common Incorporation Problems,” University of Puget Sound Continuing Legal Education Foundation, Kannapali, Maui, Hawaii, December, 1979.

“Basic Finance and Securities Law Compliance for Startup Enterprise,” University of Puget Sound Continuing Legal Education Foundation, Wailea, Maui, Hawaii, December, 1980.

“Criminal Liability of Corporate Officers and Directors,” Midyear Meeting, Business Law Section, Washington State Bar Association, Richland, Washington, May, 1981.

“Comparative Constitutional Law – U.S., U.K., and USSR.,” University of East Anglia, Norwich, England, October, 1981.

“Models for Regulating the Modern Corporation,” Register Faculty Lecture, University of Puget Sound, October, 1983.

“Recent Developments in Corporate Law,” Midyear Meeting, Business Law Section, Washington State Bar Association, Richland, Washington, May, 1984.

“New Financial Provisions of the Model Business Corporation Act,” Annual Meeting, Washington State Bar Association, Vancouver, British Columbia, October, 1984.

“An Initial Inquiry into the Firm Specific Shareholder: Theory, Evidence, and Uses,” Cornell University School of Law, Ithaca, New York, March, 1985.

“The New, Amended, and Improved Washington State Business Corporation Act,” Chelan/Douglas County Bar Association, Wenatchee, Washington, November, 1985.

Commentator, Association of American Law Schools, Corporate Law Teachers’ Conference, University of Texas School of Law, Austin, Texas, June, 1985.

“Protecting and Fostering the Growth of a Successful Business Entity,” Midyear Meeting, Business Law Section, Washington State Bar Association, Yakima, Washington, May, 1986.

“Opting Out of Fiduciary Duty,” University of Denver School of Law, Denver, Colorado, October, 1987.

“Fiduciary Duty – An Irreducible Core or Minimum?,” University of Georgia School of Law, Athens, Georgia, November, 1987.

“Indeterminacy in Corporate Law,” University of Oregon, Eugene, Oregon, March, 1988.

Id., University of San Diego School of Law, San Diego, California, March, 1988.

“Counseling the Board of Directors,” Midyear Meeting, Business Law Section, Washington State Bar Association, Semmiahmoo Resort, Blaine, Washington, May, 1988.

“Intracorporate Process for Corporate Officers, Directors, and Their Advisers,” Wake Forest University School of Law, Winston Salem, North Carolina, April, 1989.

“Officers and Directors Under the Revised Model Business Corporation Act,” Midyear Meeting, Business Law Section, Washington State Bar Association, Semmiahmoo Resort, Blaine, Washington, May, 1989.

“A History of State Attempts at Takeover Regulation,” Joint Emergency Session of the Washington State House and Senate, Olympia, Washington, August, 1989.

“T. Boone Pickens and the Boeing Company,” Top Story, KING Television Evening News, Seattle, Washington, August, 1989.

“Shares and Shareholders and Officers and Directors,” Annual Meeting, Washington State Bar Association, Whistler Resort, British Columbia, September, 1989.

“Collateral Participant Liability in Washington,” Northwest Securities Law Institute, Vancouver, British Columbia, February, 1990.

“Shareholder Litigation,” Joint Meeting of the Washington and Idaho Bar Associations’ Business Law Sections, The Resort, Cour d’Alene, Idaho, May, 1990.

“Investigation and Vindication of Corporate Wrongdoing – Primary Reliance Upon a Private Litigation Model,” Address to the Society of Public Teachers of Law (United Kingdom), University of Southampton, England, September, 1990.

“Derivative Litigation,” Continuing Legal Education, University of Washington, Keene Hall, University of Washington, Seattle, October, 1990.

“Issues in United States Legal Education,” Otago University School of Law, Dunedin, New Zealand, February, 1991.

“A Private Litigation Model of Corporate Regulation,” School of Law, Victoria University, Wellington, New Zealand, March, 1991.

“The Rule in Foss v. Harbottle Versus the Modern Derivative Action,” Address to the Christchurch Bar Association, Christchurch, New Zealand, April, 1991.

“The Takeover Boom in the USA: Causes and Cures,” School of Law, Canterbury University, Christchurch, New Zealand, April, 1991.

“Current Developments – State Securities Law,” Northwest Securities Law Institute, Portland, Oregon, February, 1992.

“Practical Ways for Attorneys to Avoid Seller-Participant Liability,” Joint Meeting of the Washington and Oregon Bar Associations’ Business Law Sections, Resort at the Mountain, Mount Hood, Oregon, May, 1992.

“Collateral Participant Liability Under State Securities Law,” Law Review Symposium, Pepperdine University, Malibu, California, April, 1993.

“A Primer on Securities Law,” Pierce County, Washington Bar Association Annual Meeting, September, 1993.

“The Corporate and Securities Law Opinions of Justice John Paul Stevens,” School of Law, Rutgers University, Camden, April, 1994 (broadcast on CNN Two).

“The Death of Contractarianism,” School of Law, George Washington University, Washington, D.C., May, 1994.

“Choice of Entity Including the New Limited Liability Company,” Pierce County Washington Continuing Legal Education, the Inn at Alderbrook, Belfair, Washington, October, 1994.

“Officers’ and Directors’ Duty of Care,” Harold Ford Lecture, University of Melbourne, Melbourne, Victoria, Australia, May, 1995.

“The New Emphasis on Structure and Process in Corporate Governance,” Luncheon Address to Corporate Counsel Assembly, Corporate Offices, Broken Hill Proprietary Co., Melbourne, Victoria, Australia, May, 1995.

“Insider Trading and Stock Price Manipulation,” School of Law, University of Adelaide, South Australia, Australia, May, 1995.

“The New Emphasis on Structure and Process in Corporate Governance,” Murdoch University and the University of Western Australia, Perth, Western Australia, June, 1995.

“A Not So Concise History of Corporate Governance Reform,” School of Law, Bond University, Queensland, Australia, June, 1995.

“The Arduous and Often Fatal Gauntlet Plaintiffs Under the Private Securities Litigation Reform Act of 1995,” Annual Corporate Law Program, School of Law, University of Cincinnati, Sherry Netherlands Hotel, Cincinnati, Ohio, February, 1996.

“Use of Corporations as Vehicles for Aboriginal Land Settlements,” School of Law, University of New South Wales, Sydney, Australia, April, 1996.

“A Not So Concise History of Corporate Governance Reform,” School of Law, University of New South Wales, Sydney, Australia, April, 1996.

“Securities Regulation in the State Courts After the Private Securities Litigation Reform Act of 1995: Something Old, Something New, Something Borrowed, Something Blue,” F. Hodge O’Neal Annual Corporate Law Symposium, School of Law, Washington University, Saint Louis, Missouri, March, 1998

“The Supreme Court and the Misappropriation Theory of Insider Trading,” Allegheny County Bar Association, Pittsburgh, Pennsylvania, April, 1998.

“Takeovers and Other Hostile Acquisitions,” School of Law, University of Cape Town, South Africa, August, 1998.

“Adventures in the Alaska Law Trade,” Inaugural Lecture, W. Edward Sell Professorship in Business Law, Frick Cloister, University of Pittsburgh, October, 1998.

“Derivative and Securities Litigation in the New Millennium,” Insight Communications, Park Lane Hotel, New York, New York, February, 1999.

“Corporate Governance Reform in Indonesia,” Transparency Indonesia, Jakarta, Republic of Indonesia, January, 2000.

“International Standards in Corporate Governance,” Udayana University, Bali, Indonesia, March, 2000.

“The Business Judgment Rule in the US and in Australia,” Address to the Melbourne Bar, Freehills Law Firm, Melbourne, Victoria, Australia, May, 2000.

“The New Statutory Business Judgment Rule – Teachings from the US Experience,” Parsons Scheme Lecture, School of Law, University of Sydney, Sydney, Australia, May, 2000.

“History of Corporate Governance Reform” and “The Class Action in Belgium and the European Union – Consumer, Environmental, and Other Cases,” School of Law, University of Ghent, Belgium, October, 2000.

“Teaching Comparative Corporate Governance: The Coming Importance of Soft Law and of International Institutions,” Corporate Law Teachers Conference, School of Law, University of Georgia, October, 2000.

“A Changing World: Globalization and Corporate Governance Reform,” Inaugural Address, W. Edward Sell Chair in Business Law, Alcoa Co. World Headquarters, Pittsburgh, Pennsylvania, April, 2001.

“The Very Uncertain Prospect of Global Convergence in Corporate Governance,” School of Law, Deakin University, Geelong, Victoria, Australia, April, 2001.

Id., Address to Melbourne and Victoria Teachers of Corporate Law Working Group, School of Law, University of Melbourne, April, 2001.

“The Rule That Isn’t a Rule – The Business Judgment Rule,” Indiana Supreme Court Lecture, School of Law, Valparaiso University, Valparaiso, Indiana, September, 2001.

“Pleading Standards and Other Current Court Case Issues in Securities Law,” Public Investors Arbitration Bar Association, Annual Meeting, Amelia Island, Florida, September, 2001.

“The Social Responsibility of Multinational Corporations,” Symposium on Globalization and Corporate and Securities Law in the 21st Century, McGeorge School of Law, University of the Pacific, Sacramento, California, February, 2002.

“The Very Uncertain Prospect of Global Convergence in Corporate Governance – An Asian Perspective,” Third Annual Symposium on Corporate Governance and Disclosure: The Impact of Globalization, Chinese University of Hong Kong and the Center for Accounting Disclosure and Corporate Governance, Harbour Plaza Hotel, Hong Kong, February, 2002.

“Corporate Social Responsibility Reform – A History,” Keynote Address, Symposium, The New Corporate Social Responsibility, School of Law, Tulane University, New Orleans, Louisiana, April, 2002.

“The Corporate Social Responsibility of Large Multinational Corporations,” School of Law, University of Heidelberg, Heidelberg, Germany, June, 2002.

“Globalization Issues in Corporate Governance and Corporate Law,” School of Law, University of Cologne, Cologne, Germany, June, 2002.

“The Very Uncertain Prospect of Global Convergence in Corporate Governance – A European Perspective,” Germany-American Lawyers Bar Association, Frankfurt, Germany, June, 2002.

Id., The James Byrnes German American Cultural Institute, Stuttgart, Germany, July, 2002.

“Enron – When All Systems Fail: Creative Destruction or Roadmap to Corporate Governance Reform,” School of Law, Villanova University, Philadelphia, Pennsylvania, October, 2002.

“Board Structure and Composition,” Biennial Meeting of the Hong Kong Institute of Company Secretaries, Conrad Hotel, Hong Kong, October, 2002.

“The Independent Non Executive Director,” Biennial Meeting of the Hong Kong Institute of Company Secretaries, Conrad Hotel, Hong Kong, October, 2002.

“A Concise History of Corporate Governance Reform” and “Enron and its Aftermath – the Sarbanes Oxley Act of 2002,” Intermountain Business Forum, Boise, Idaho, November, 2002.

“A Half Hour with Professor Douglas Branson on Contemporary Issues in Corporate Governance,” Dialogue, Idaho Public Television, Boise, Idaho, November, 2002.

“Toward a Statutory Business Judgment Rule in Malaysia” and “Board Composition and Structure,” Second Annual Conference, Malaysian Institute of Corporate Governance, Isfana Hotel, Kuala Lumpur, Malaysia, March, 2003.

“Law Firms in the United States: Legal Structure, Management and Finance,” Symposium on Law Firm Organization and Practice, Yalta, Crimea, Ukraine, June, 2003.

“Enron, Worldcom and the Aftermath - The Sarbanes-Oxley Reforms in the USA and Implications for Australia,” Corporate Governance 2003, Grace Hotel, Sydney, NSW, Australia, September, 2003.

“Holding Multinational Corporations Accountable: the Litigation Alternative Under the Alien Tort Claims Act,” University of Alabama School of Law, Tuscaloosa, Alabama,

December, 2003, and Cardozo Law School of Yeshiva University, New York, New York, February, 2004.

“An Intellectual History of Corporate Governance Reform,” University of Technology Sydney, Sydney, Australia, May, 2004.

“Recent Developments Under the Sarbanes-Oxley Act in the United States,” Dibbs Barker Gosling Law Firm, Sydney, Australia, May, 2004.

“Too Many Bells? Too Many Whistles? Corporate Governance in a Post-Enron, Post WorldCom World,” School of Law, City University of Hong Kong, August, 2004.

“Litigation Under the Alien Tort Claims Act,” University of Colorado School of Law, Boulder, Colorado, September 10, 2004.

“Too Many Bells? Too Many Whistles? Corporate Governance in the Twenty First Century,” Keynote Speech, Australasia Annual Law Teachers Conference, Waikato University, Hamilton, New Zealand, July 8, 2005.

“Too Many Bells? Too Many Whistles? Corporate Governance in the Post Enron Post WorldCom Era,” School of Business, Chinese University, Hong Kong, March 20, 2006.

“Enron, WorldCom, and the Aftermath,” Public Lecture, Faculty of Laws, Hong Kong University, March 27, 2006.

“Enron and Its Aftermath,” Lovells’ Law Firm. Hong Kong, March 28, 2006.

“Corporate Governance in the Twenty First Century - Implications for Regulators,” Hong Kong Securities and Futures Commissions, March 29, 2006.

“Enron, WorldCom, and the Aftermath,” Asian Institute of International Financial Law, Hong Kong, March 29, 2006.

“Corporate Governance in the Twenty First Century - Implications for the People’s Republic of China and Other Asian Nations,” Asian Development Bank, Manila, Philippines, March 30, 2006.

“Navigating United States Export Controls - Challenges and Opportunities of Globalization,” University of Pittsburgh School of Law and Arent Fox PLLC, September 29, 2006.

No Seat at the Table, Pittsburgh Executive Women's Counsel (February 2007), University of Puget Sound (February, 2007), St. John's University School of Law (April 2007), University of Melbourne School of Law (May 2007), University of Toledo School of Law (Sept. 2007), Seattle University School of Law (October 2007), University of Houston School of Law (January 2008), University of Colorado School of Law (March 2008), University of Auckland (New Zealand) Business School (August 2008).

"Annual Developments in Delaware: An International View," State Bar of Delaware, Wilmington, Delaware, May, 2008.

"How To Excel During Impeachment Attempts: Lessons from High Profile Cases," National Council of Expert Witnesses, Hyannis, MA, June, 2008.

"Diversity on Corporate Boards of Directors," Corporate Governance Symposium, University of Auckland, Auckland, New Zealand, August, 2008.

"The Credit Crunch: Taking the Long View," School of Law, University of Pittsburgh, Pittsburgh, PA, November, 2008.

"The Credit Crunch: Taking the Long View," in "The Fallout from the Bailout," School of Law, University of Dayton, Dayton, Ohio, March, 2009.

"Diversity in Corporate Governance," School of Law, Deakin University, Geelong, Victoria, Australia, April, 2009.

"How to Excel in the Use of Expert Witnesses in Securities Cases," Summer Conference, Securities Administrator of Canada, St. Andrews Next the Sea, New Brunswick, Canada, June, 2009.

"Women on Corporate Boards," University of Technology, Sydney (Australia), State Library, New South Wales, March, 2010.

"Diversity in Corporate Governance," Inaugural Condon Falknor Public Lecture, University of Washington School of Law, Seattle, WA. April, 2010.

"Women and Minorities in Corporate Governance," Annual Persons of Color National Scholarship Conference, Loyola University School of Law, Chicago, Illinois, May, 2010.

"Pathways to Corporate Director and Senior Executive Positions," Rethinking Equality, American Association of Law Schools, Mayflower Hotel, Washington, DC, June, 2011.

“Cronyism and Corruption: The United Nations Anti-Bribery Convention and Recent Developments Around the World,” East Asia Law & Society Meeting, Seoul, Korea, September 30, 2011.

“Corporate Governance in East Asia,” Panel Discussion, Yonsei University, Seoul, Korea, October 1, 2011 (panel moderator).

W. Edward Sell Keynote Address, Pennsylvania Bar Institute, Business Lawyers’ Institute, Philadelphia, Pennsylvania, November 9, 2011.

“Achieving Diversity in Corporate Governance,” Australian Corporate Law Teachers’ Association Annual Meeting, Gold Coast, Queensland, Australia, February 6, 2012 (keynote speech).

“Globalization and Corporate Law Teaching,” Bond University, Queensland, February 6, 2012.

“Achieving Diversity in Corporate Governance,” Diversity on Boards, School of Business, University of Auckland, New Zealand, February 10, 2012 (keynote speaker).

“Pathways to Senior Executive Positions and Board Seats,” in Pipeline to Power, Michigan State University School of Law, April 13, 2012.

“Women on Corporate Boards of Directors,” Allegheny County Bar Association, Rivers Club, Pittsburgh, Pennsylvania, May, 2012.

“Gender Diversity in the Boardroom: The Issues and Where Do We Go From Here?,” Law Society of Hong Kong and Institute of Certified Public Accountants, Hong Kong, March 5, 2013.

“Recent Corporate Governance Developments in the United States: the Dodd-Frank Act, the JOBS Act, and Reverse Mergers with PRC Private Companies,” Financial Regulation and Economic Development Center, University of Hong Kong and Chinese University of Hong Kong, March 6, 2013.

“Breaking the Glass Ceiling,” Hong Kong Stock Exchange, March 7, 2012.

“A Changing Mosaic in Securities Regulation and Enforcement: Broker Dealers and Investment Advisers,” SEC Enforcement, 26th Annual University of Cincinnati Corporate and Securities Law Conference, University of Cincinnati, March 15, 2013.

“Proposals for Corporate Governance Reform: Six Decades of Ineptitude,” in Agency Theory: Still Viable, School of Law, Wake Forest University, March 22, 2013.

“Diversity in Corporate Governance,” Pennsylvania Bar Institute, Annual Business Law Institute, 2014 (keynote speaker).

“Remarks,” Annual Larry Doby Day, State of New Jersey, Patterson NJ, July 5, 2016 (keynote speaker).